FPT Securities

REQUEST FOR CHANGE OF INVESTMENT ADVISORY SERVICE

I. CUSTOMER INFORMATION

Full name:

Identification/Business registration No.:	Date of issue:	Issuing authority:

Account No.: | 0 | 5 | 8 | C | | | | | | |

Representative (*only for institutional customer*):

Power of Attorney No.: Dated:

II. REQUEST FOR CHANGE OF INVESTMENT ADVISORY SERVICE

The Customer hereby requests FPTS to make a change to the investment advisory service of the above account:

☐ Terminate the investment advisory service

☐ Replace the advisor

Current advisor: ……………………………………………………………….

New advisor: ………………………………………………………………….

ID No: ………………… Date of issue: …………………… Place of issue: ………………….

Request the Company to automatically withhold the charge for the investment advisory service from the account above with the selected option below:

☐ Service charge rate of ..….% of trading value

☐ Service charge rate of …….% per month to the average net assets of the account

☐ Flat rate:

☐ ……………VND/week	☐ ………...VND/month
☐ …………VND/quarter	☐ …………..VND/year

☐ Registered service time: From ………… to ……….….

III. CUSTOMER VERIFICATION

The Customer verifies the request for change hereinbefore and shall bear responsibility to such request for change.

On the …. day of … year ……

ACCOUNTHOLDER

IV. FOR FPTS ONLY

FPTS hereby agrees on the request for change of the Customer herein and applies from ... ... month ... year ... … until the Customer make a new request for change.

Customer Service Staff **Supervisor** **Investment Advisor**

TERMS OF INVESTMENT ADVISORY SERVICE

1. The Investment Advisory Service is a service of FPT Securities Joint Stock Company (FPTS) that, upon the request of the Customer, FPTS appoints one or more Advisors/Analysts (hereinafter referred to as Advisors) to provide information, support formation, manage portfolios and put forth recommendations to such Customer when he/she invests in securities.
2. After being appointed, the Advisors shall proactively utilize their knowledge, expertise, integrity and care to support the Customer to achieve investment requirements and objectives. The support includes advising on constructing and managing the portfolio; establishing and adhering to investment strategies; providing macro, industry and business information and reports; and recommending securities purchases or sales.
3. Advisors shall offer advice or recommendations based on sources of information deemed reliable, available and legal by such Advisors. However, the Advisors cannot guarantee the accuracy or completeness of these sources of information.
4. Advice or recommendations are only limited to proposals. Such proposals are not to be construed as a guarantee for no loss suffered or a commitment to profit achieved to the Customer. The Customer has the full right to decide whether or not to use the information and suggestions offered by Advisors and the Customer is solely responsible for the use of such information or proposals.
5. The Customer has fully comprehended that risks may arise in securities investment and accepted such risks. The Customer agrees that Advisors do not make the investment decision and will not be liable for any damage or loss arising in securities investment of the Customer. In addition, the Customer has understood that FPTS is not responsible for any information, judgments and suggestions offered by the Advisors. For that reason, the Customer commits himself/herself not to have any claim or demand for compensation in connection with this Service from Advisors and FPTS.
6. By voluntarily signing the Investment Advisory Service Registration Form, the Customer also agrees and certifies that he/she has thorough knowledge of the Investment Advisory Service and investment advisory fee payment plans to choose best plan and service registration time. The Customer commits himself/herself to complying with FPTS's regulations on Investment Advisory Service.
7. When the Customer wants to change Advisors or wants to terminate this Service, he/she undersigns the Request for Change of investment Advisory Service and contact FPTS's Customer Service Department for support.