



# INVESTMENT ADVISORY SERVICE REGISTRATION FORM

## I. CUSTOMER INFORMATION

Full name:												
Identification/Business registration No.:	Date of issue:	Issuing authority:										
Account No.:	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">0</td> <td style="width: 20px; text-align: center;">5</td> <td style="width: 20px; text-align: center;">8</td> <td style="width: 20px; text-align: center;">C</td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> </tr> </table>		0	5	8	C						
0	5	8	C									
Representative ( <i>only for institutional customer</i> ):												
Power of Attorney No.:		Dated:										

## II. INVESTMENT ADVISORY SERVICE REGISTRATION

The Customer hereby agrees to register the investment advisory service for the account hereinabove.

1. Request the Company to assign the Advisor hereinafter:  
 Full name: .....  
 ID No: ..... Date of issue: ..... Place of issue: .....

2. Request the Company to automatically withhold the charge for the investment advisory service from the account above with the selected option below:

Service charge rate of .....% of trading value

Service charge rate of .....% per month to the average net assets of the account

Flat rate:

<input type="checkbox"/> .....VND/week	<input type="checkbox"/> .....VND/month
<input type="checkbox"/> .....VND/quarter	<input type="checkbox"/> .....VND/year

3. Registered service time: From ..... to .....

## III. CUSTOMER COMMITMENT

The Customer hereby registers the Investment Advisory Service by choice after comprehensively reading and understanding the Terms of Investment Advisory Service of FPTTS and pledges to comply with such terms.

On the .... day of ... year .....  
**ACCOUNTHOLDER**

## IV. FOR FPTTS ONLY

FPTS hereby agrees to provide the Investment Advisory Service for the Customer herein and applies from ... .. month ... year ... .. until the Customer make a request for change confirmed by FPTTS.

<b>Customer Service Staff</b>	<b>Supervisor</b>	<b>Investment Advisor</b>
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## **TERMS OF INVESTMENT ADVISORY SERVICE**

1. The Investment Advisory Service is a service of FPT Securities Joint Stock Company (FPTS) that, upon the request of the Customer, FPTS appoints one or more Advisors/Analysts (hereinafter referred to as Advisors) to provide information, support formation, manage portfolios and put forth recommendations to such Customer when he/she invests in securities.
2. After being appointed, the Advisors shall proactively utilize their knowledge, expertise, integrity and care to support the Customer to achieve investment requirements and objectives. The support includes advising on constructing and managing the portfolio; establishing and adhering to investment strategies; providing macro, industry and business information and reports; and recommending securities purchases or sales.
3. Advisors shall offer advice or recommendations based on sources of information deemed reliable, available and legal by such Advisors. However, the Advisors cannot guarantee the accuracy or completeness of these sources of information.
4. Advice or recommendations are only limited to proposals. Such proposals are not to be construed as a guarantee for no loss suffered or a commitment to profit achieved to the Customer. The Customer has the full right to decide whether or not to use the information and suggestions offered by Advisors and the Customer is solely responsible for the use of such information or proposals.
5. The Customer has fully comprehended that risks may arise in securities investment and accepted such risks. The Customer agrees that Advisors do not make the investment decision and will not be liable for any damage or loss arising in securities investment of the Customer. In addition, the Customer has understood that FPTS is not responsible for any information, judgments and suggestions offered by the Advisors. For that reason, the Customer commits himself/herself not to have any claim or demand for compensation in connection with this Service from Advisors and FPTS.
6. By voluntarily signing the Investment Advisory Service Registration Form, the Customer also agrees and certifies that he/she has thorough knowledge of the Investment Advisory Service and investment advisory fee payment plans to choose best plan and service registration time. The Customer commits himself/herself to complying with FPTS's regulations on Investment Advisory Service.
7. When the Customer wants to change Advisors or wants to terminate this Service, he/she undersigns the Request for Change of investment Advisory Service and contact FPTS's Customer Service Department for support.